

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Regents of the University of Minnesota

Application No./Patent No.: 09/460,605

Filed/Issue Date: 12/14/1999

Entitled: Polymersomes and Related Encapsulating Membranes

Regents of the University of Minnesota

, a University

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

- ☐ the assignee of the entire right, title, and interest; or
- ☒ an assignee of less than the entire right, title and interest
(The extent (by percentage) of its ownership interest is 33.33 %)

in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy therefore is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

- From: You-Yeon Won To: Regents of the University of Minnesota

The document was recorded in the United States Patent and Trademark Office at

Reel 018757, Frame 0655, or for which a copy thereof is attached.

- From: Frank S. Bates To: Regents of the University of Minnesota

The document was recorded in the United States Patent and Trademark Office at

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- From: _____ To: _____

The document was recorded in the United States Patent and Trademark Office at

Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

James D. Hines
Signature

Printed or Typed Name

Contracts Manager, OTC
Title

11/12/2008
Date

667/624-6426
Telephone Number